

VIA HAND DELIVERY AUGUST 29, 2000

#7

RECEIVED

SEP 13 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yu et al.

TECH CENTER 1600/2900

Application Serial No.: 09/589,288

Art Unit: 1643

Filed: June 8, 2000

Examiner: Not yet assigned

For: Neutrokin-alpha and Neutrokin-alpha
Splice Variant

Attorney Docket No.: PF343P3C5

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Customer Service Center
Office of Initial Patent Examination

Sir:

Applicants respectfully request that the enclosed filing receipt be corrected. Specifically, under the section entitled "Continuing Data as Claimed by Applicant," please move the phrase "WHICH IS A CIP OF 09/255,794 02/23/1999" below the phrase "WHICH CLAIMS BENEFIT OF 60/176,015 01/14/2000." Please also add the following:

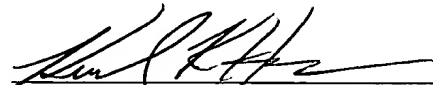
--WHICH IS A CIP OF 09/005,874 01/12/1998
WHICH CLAIMS BENEFIT OF 60/036,100 01/14/1997
WHICH IS A CIP OF PCT/US96/17957 10/25/1996--

The requested correction is indicated in red ink on the enclosed duplicate of the filing receipt.

No fee is believed due in connection with this request. However, should the Patent Office deem otherwise, please charge the required fee to Deposit Account No. 08-3425. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: August 29, 2000


Kenley K. Hoover (Reg. No. 40,302)
Attorney for Applicants

Human Genome Sciences, Inc.
9410 Key West Avenue
Rockville, MD 20850
(301) 610-5771 (phone)

FILING RECEIPT



OC00000005311290

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/589,288	06/08/2000	1643	1092	PF343P3C5	16	25	7

Kenley K Hoover Esq
Human Genome Science Inc
9410 Key West Avenue
Rockville, MD 20850

Date Mailed: 08/10/2000

KKI + MS

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guo-Liang Yu, Berkeley, CA ;
Reinhard Ebner, Gaithersburg, MD ;
Jian Ni, Rockville, MD ;
Craig A. Rosen, Laytonsville, MD ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/507,968 02/22/2000
WHICH IS A CIP OF 09/255,794 02/23/1999

WHICH CLAIMS BENEFIT OF 60/122,388 03/02/1999
WHICH CLAIMS BENEFIT OF 60/124,097 03/12/1999
WHICH CLAIMS BENEFIT OF 60/126,599 03/26/1999
WHICH CLAIMS BENEFIT OF 60/127,598 04/02/1999
WHICH CLAIMS BENEFIT OF 60/130,412 04/16/1999
WHICH CLAIMS BENEFIT OF 60/130,696 04/23/1999
WHICH CLAIMS BENEFIT OF 60/131,278 04/27/1999
WHICH CLAIMS BENEFIT OF 60/131,673 04/29/1999
WHICH CLAIMS BENEFIT OF 60/136,784 05/28/1999
WHICH CLAIMS BENEFIT OF 60/142,659 07/06/1999
WHICH CLAIMS BENEFIT OF 60/145,824 07/27/1999
WHICH CLAIMS BENEFIT OF 60/167,239 11/24/1999
WHICH CLAIMS BENEFIT OF 60/168,624 12/03/1999
WHICH CLAIMS BENEFIT OF 60/171,108 12/16/1999
WHICH CLAIMS BENEFIT OF 60/171,626 12/23/1999
WHICH CLAIMS BENEFIT OF 60/176,015 01/14/2000

Foreign Applications

-- WHICH IS A CIP OF 09/005,874 01/12/1998
WHICH CLAIMS BENEFIT OF 60/036,100 01/14/1997
WHICH IS A CIP OF PCT/US96/17957 10/25/1996 --

If Required, Foreign Filing License Granted 08/09/2000

Title

Neutrokin-alpha and Neutrokin-alpha splice variants

Preliminary Class

435

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 08/10/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title

of an application because a patent application, by nature, is a new idea or improvement.

- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231